Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 62945 (70820) DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2003/010543 21 August 2003 22 August 2002 TITLE OF INVENTION **OPTICAL COMMUNICATION SYSTEM** APPLICANT(S) FOR DO/EO/US Yorishige Ishii et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) x is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. x a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. х An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: First Page of Int'l Application WO 2004/019099 A1 as published and Int'l Search Report; PCT/IB/301, 304, 308; and Return Receipt Postcard

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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PTO-1390 (Rev. 12-2004)
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U.S. APPUCATION O. MINTENEST CER 15 7					INTERNATIONAL APPLICATION NO. PCT/JP2003/010543			ATTORNEY'S DOCKET NUMBER 62945 (70820)		
21. The following fees are submitted:								Applic	ant Use	Office Use Only
x a) Basic national fee					\$300.00			\$	300.00	
x b) Examination fee\$200.00							\$	200.00		
x c) Search fee\$500.00							\$	500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							00.00	\$	1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof:										
Total Sheets	Total Sheets Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number RATE							
59 - 100 =		/50 =		× \$250.00						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).										
CLAIMS		NUMBER FILED		· · · · · · · · · · · · · · · · · · ·			RATE			
Total claim		20 - 20 =		<u> </u>		×	200.00	\$	200.00	
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are reduce	ocu by	72.	-			SUE	BTOTAL =	\$	1,200.00	
Processing fee	of \$13	0.00 for furnishi	ing the E	nglish	translation later tha	n 30 montl	hs	\$		
from the earlies	t claim	ed priority date	(37 CFR	1.492	2.77		+	<u> </u>		
TOTAL NATIONAL FEE = \$ 1,200.00										
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00										
TOTAL FEES ENCLOSED = \$ 1,240.00										
Amount to be refunded: \$								\$		
Amount to be charged:									\$	
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. X Please charge my Deposit Account No. 04-1105 in the amount of \$ 1,240.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. x The	Comn	nissioner is he	reby aut	horize	d to charge any a	dditional fe	ees which may	y be req	uired, or credit a	ny
overpayment to Deposit Account No. <u>04-1105</u> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Steven M. Jensen SIGNATURE:										
EDWARDS & ANGELL, LLP Steven M. Jensen P.O. Box 55874 NAME										
Boston, Massachusetts 02205										
(617) 439-4444 42,693 REGISTRATION NUMBER										
CUSTOMER NUMBER: 21874 REGISTRATION NUMBER										

Attorney Docket No.: 62945 (70820)



Application No. (if known): Not Yet Assigned

Certificate of Express Mailing Under 37 CFR 1.10

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Transmittal Letter to the United States Designated-Elected Office (2

pages)
Copy of the International application

Oath or declaration of the inventor(s)

Preliminary Amendment

First Page of Int'l Application WO 2004/019099 A1 as published

International Search Report PCT/IB/301, 304, 308 Return Receipt Postcard Assignment document for recording Information Disclosure Statement IDS (Citation) by Applicant (4 References)

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